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PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 10/655,162
Filing Date SEPTEMBER 4, 2003
First Named Inventor LOCKO et al.
Examiner Name IRINA SOPHIA ZEMEL
Art Unit 1711
Attorney Docket No. ARZ-025646-US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 09-0525 Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$)

- 20 or HP = x = Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

- 3 or HP = x = Fee Paid (\$)

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = / 50 = (round up to a whole number) x = Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement 180.00

SUBMITTED BY

Signature [Signature] Registration No. 52,595 Telephone 513/248-6736
Name (Print/Type) THOMAS W. BARNES, III Date APRIL 18, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No.: ARZ-025646-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LOCKO et al.

Serial No: 10/655,162

Group Art Unit: 1711

Filed: SEPTEMBER 4,2003

Examiner: IRINA SOPHIA ZEMEL

Docket No.: ARZ-025646-US

For: RESINS AND ADHESIVE FORMULATIONS THEREWITH

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(d) (2)

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of documents which the Examiner may deem relevant to patentability of the claims of the above-identified application. The applicants file this Information Disclosure Statement after the period specified and is filed on or before payment of the issue fee and accompanied by the fee set forth in § 1.17(p). Therefore, the Information Disclosure Statement should be considered and made of record by the U.S. Patent and Trademark Office pursuant to 37 CFR §1.97 (d) (2). Enclosed please find a completed Information Disclosure Statement, Form PTO/SB/08A, and a copy of each reference cited thereon.

The submission of the listed documents is not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Applicants respectfully request that an initialed copy of Form, PTO/SB/08A be returned in accordance with MPEP §609. If there are any questions or if additional information is required regarding this submission, the Examiner is respectfully requested to contact applicant's attorney at the telephone number listed below.

Respectfully submitted,
George A. Locko, Applicant
Abhay K. Deshpande, Applicant

Date: April 18, 2005

By: 

Thomas W. Barnes, III

Reg. No. 52,595

Patent Agent

International Paper Company

6285 Tri-Ridge Boulevard

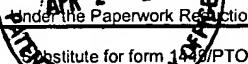
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TWB/jat



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/655,162
Filing Date	SEPTEMBER 4, 2003
First Named Inventor	LOCKO et al.
Art Unit	1711
Examiner Name	I.S. ZEMEL
Attorney Docket Number	ARZ-025646-US

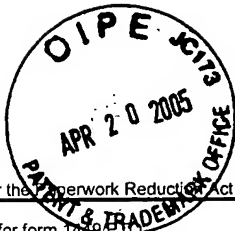
U. S. PATENT DOCUMENTS

[illegible]

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1400-PTA

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/655,162
Filing Date	SEPTEMBER 4, 2003
First Named Inventor	LOCKO et al.
Art Unit	1711
Examiner Name	IRINA SOPHIA ZEMEL
Attorney Docket Number	ARZ-025646-US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Patent Abstracts of Japan, JP 56-100879, August 13, 1981.	
		Patent Abstracts of Japan, 01-278589, November 8, 1989.	

Examiner
SignatureDate
Considered

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket No.: ARZ-025646-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: LOCKO et al. Group Art Unit: 1711
Serial No.: 10/655,162 Examiner: IRINA SOPHIA ZEMEL
Filed: SEPTEMBER 4, 2003
For: RESINS AND ADHESIVE FORMULATION THEREWITH

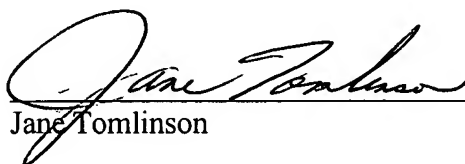
CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the documents listed below for filing in the above referenced patent application are being deposited with the United States Postal Service in an envelope with sufficient postage for first class mailing addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450, on April 18, 2005:

1. Information Disclosure Statement under 37 C.F.R. – 1.97(b)(3), 2 sheets;
2. Information Disclosure Statement by Applicant, Form PTO/SB/08A, 2 sheet(s); and one copy of each of the references cited thereon;
3. Post Card


Jane Tomlinson